

Form PTO-1449 (modified)

Atty. Docket No. Graff-P1-03

Serial No.  
10/611,305

List of Patents and Publications for Applicant's

Applicant: Richard A. Graff

Filing Date:  
June 30, 2003Group:  
3625

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
	A1						
	A2						

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JNP	C1	"Master Limited Partnership (MLP)," Wikipedia, March 7, 2007, <a href="http://en.wikipedia.org/wiki/Master_limited_partnership">http://en.wikipedia.org/wiki/Master_limited_partnership</a> , printed 4/2/07, Pgs. 1.
	C2	"Master Limited Partnership (MLP)," <a href="http://www.streetauthority.com/search/printpage.asp">http://www.streetauthority.com/search/printpage.asp</a> , printed 4/2/07, Pgs. 1-2.
	C3	"Income Trust," Wikipedia, <a href="http://en.wikipedia.org/wiki/Income_trust">http://en.wikipedia.org/wiki/Income_trust</a> , printed 4/2/2007, Pgs. 1-13.
	C4	"Tax and Other Issues Related to Publicly Listed Flow-Through Entities (Income Trusts and Limited Partnerships)," Department of Finance Canada, <a href="http://www.fin.gc.ca/activity/pubs/toirplf_1e.html">http://www.fin.gc.ca/activity/pubs/toirplf_1e.html</a> , printed 4/2/2007, Pgs. 1-25.
	C5	"Appendix A, Funds and Taxation," 2005 Investment Company Fact Book, <a href="http://www.ici.org/factbook/05_fb_appa.html">http://www.ici.org/factbook/05_fb_appa.html</a> , printed 4/2/2007, Pgs. 1-4.
	C6	"Income Trusts: What's Behind the Change," CBS News, January 29, 2007, <a href="http://www.cbc.ca/news/background/personalfinance/incometrusts.html">http://www.cbc.ca/news/background/personalfinance/incometrusts.html</a> , printed 4/2/2007, Pgs. 1-3.
	C7	"Income Trust Rules Should Have Changed Sooner: Dodge," Ward, J., CNEWS, February 1, 2007, <a href="http://cnews.canoe.ca/CNEWS/Canada/2007/02/01/pf-3498623.html">http://cnews.canoe.ca/CNEWS/Canada/2007/02/01/pf-3498623.html</a> , printed 4/2/2007, Pgs. 1-2.

EXAMINER: *Raymond*

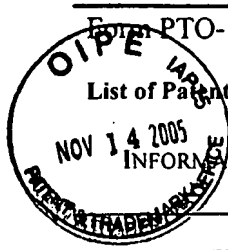
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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

C: 56468 (GRAFF-P1-03.1449.3.DOC)

11/14/05

 Form PTO-1449 (modified) List of Patents and Publications for Applicant's INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty. Docket No. <b>Graff-P1-03</b>	Serial No. <b>10/611,305</b>
	Applicant: <b>Richard A. Graff</b>	
	Filing Date: <b>June 30, 2003</b>	Group: <b>3625</b>
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 1</i>	Other Art <i>See Page 1</i>

### U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date if App.
JWP	A1	US 2002/0138419	Sep. 26, 2002	Melone et al.	705	38	Mar. 22, 2002
J	A2	US 2003/0229555	Dec. 11, 2003	Marlowe-Noren	705	38	Nov. 15, 2002
	A3						

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JWP	C1	Copies of Web Pages of Projector Center labeled as Projector Center, 2000-2002, projectorcenter.com, <a href="http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp">http://web.archive.org/web/20021026135235/http://www.projectorcenter.com/lease_end.asp</a> , printed 7/19/05

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)

C: 5646R(GRAFF-P1-03.1449.2.DOC)

6/30/03

Form PTO-1449 (modified)	Atty. Docket No. <b>Graff-P1-03</b>	Serial No.
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	A1						
	A2						

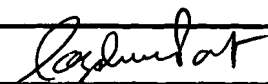
## Foreign Patent Documents

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	B1						
	B2						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
JWL	C1	Richard A. Graff, "Rethinking Components of Real Estate Value", <i>Pensions &amp; Investments</i> , Pg. 42, October, 1991
	C2	Richard A. Graff, "The Impact of Tax Issues on Real Estate Debt and Equity Separation", <i>Real Estate Review</i> , 20:3, Pages 50-58 (1990)
	C3	Booth, Cashdan, and Graff, "Real Estate: A Hybrid of Debt and Equity," <i>Real Estate Review</i> , Pgs. 54-58 (1998)
	C4	Richard A. Graff, "Some New Ideas in Real Estate Finance," <i>Journal of Applied Corporate Finance</i> , 3:1, Pgs. 77-89 (1990)
↓	C5	Richard A. Graff, "Perspectives on Debt-and-Equity Decomposition for Investors and Issuers of Real Estate Securities," <i>Journal of Real Estate Research</i> , Vol. 7, No. 4, Pgs. 449-467 (1992)

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